

# **Neconstruction of magnetospheric storm-time dynamics using** cylindrical basis functions and multi-mission data mining

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### Key points:

- A novel method is developed to represent distant geomagnetic field by generalizing the previously proposed radial basis function approach
- The new model is combined with the nearest-neighbor data mining to reconstruct magnetic structures from a large pool of multi-mission data
- Modeling a strong storm with sudden commencement revealed a dramatic
   redistribution of magnetic flux due to the external impact and driving

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3	Abstract. First results are presented of the modeling of magnetospheric
4	storm events, based on: (i) a new method to represent the magnetic field by
5	means of the so-called cylindrical basis functions (CBF), (ii) the data mining
6	approach by Sitnov et al. (2008), and (iii) upgraded and extended pool of
7	multi-mission data taken in 1995–2019. The study is focused on the low-
8	latitude magnetospheric domain in the distance range $3-18R_E$ bounded by
9	field line shells with footpoint latitudes $\pm 70^{\circ}$ . The magnetic configurations
10	are reconstructed from data subsets, selected from the grand database by
11	the nearest-neighbor method, using both interplanetary data and the ground
12	disturbance indices. A strong storm of May 27-29, 2017, has been studied
13	in relation to its effect on the reconfiguration of the low-latitude magneto-
14	sphere. The modeling reproduces the main features of the magnetosphere
15	dynamics in terms of the geomagnetic field depression/compression and
16	extremely variable field line stretching. The initial contraction of the magne-
17	tosphere during the storm sudden commencement results in a local transient
18	surge of the inner tail current and a dramatic antisunward discharge of the
19	magnetic flux. As the storm progresses, the ring current buildup results in a
20	strongly depressed magnetic field in the inner magnetosphere, which expels
21	the magnetic flux to larger distances and increases the field line connection
22	across the more distant tail plasma sheet. At the same time, a strong dawn-
23	dusk asymmetry is developed due to the formation of the duskside partial
24	ring current, in agreement with previous independent results.

# 1. Introduction

Since their inception in the early 1970s (Mead and Fairfield, 1975), the data-based magne-25 tospheric models made a remarkable progress, from relatively simple analytical formulations describing the average geomagnetic field for several bins of the Kp-index (e.g., Tsyganenko 27 and Usmanov, 1982), to much more sophisticated algorithms, capable to reproduce the stormtime dynamics of the magnetosphere (e.g., Tsyganenko and Sitnov, 2005; henceforth TS05). 29 In early models (see a comprehensive bibliography in a review by Tsyganenko, 2013), a stan-30 dard approach was to represent the magnetospheric field as a sum of contributions from only 31 a few principal current systems, often referred to as "modules". The rapidly growing wealth 32 of spacecraft data collected in the recent decades made it possible to cardinally revise the tra-33 ditional method, which allowed to greatly increase the spatial and temporal resolution of the 34 models. Tsyganenko and Sitnov (2007; henceforth TS07) partially abandoned the modular ap-35 proach by replacing the custom-tailored field of equatorial currents with a much more flexible 36 representation by sums of quasi-orthogonal basis functions. 37

The next significant step forward was to completely give up the custom-made modules and represent the magnetospheric field by expanding its toroidal and poloidal parts into series of terms based on radial basis functions (RBF). The new approach (Andreeva and Tsyganenko, 2016; henceforth AT16) was shown to successfully reproduce the magnetospheric field on the basis of then available data; in addition, a possibility was demonstrated to merge the RBF and modular models into a single 'hybrid' model (Tsyganenko and Andreeva, 2017), which allowed to overcome limitations of the purely RBF models, in particular, to realistically represent the highly structured field of Birkeland currents at low altitudes. With respect to the parameteri-

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zation of the models, a major breakthrough (Sitnov et al., 2008) was to abandon the formerly 46 adopted global approach based on representing the model parameters with a priori postulated 47 functions of external drivers, derived from the entire grand pool of data. Instead, it was sug-48 gested to dynamically model the system's evolution during a specific event on the basis of 49 data, mined from past and future events with similar scenarios of external input, comparable 50 magnitudes, and temporal trends of the disturbance level. In that kind of approach, the final 51 product is a sequence of models, representing a specific event of interest with a series of 'quasi-52 instantaneous' configurations, rather than an all-purpose universal code. Each individual model 53 in the sequence is derived from a relatively small subset of data, selected from the grand pool 54 on the basis of its proximity to the modeled situation, quantified by the sliding average values 55 of state parameters (such as  $\langle$ SYM-H $\rangle$  index or the solar wind driver  $\langle VB_z \rangle$ ) and their temporal 56 trends, represented by their time derivatives. 57

In this paper we describe first results of a modeling study, whose goal is to synergistically 58 combine an advanced modification of the RBF-like model with the data-mining approach to 59 extract the maximum information from data. As the mathematical structure of the present model 60 is significantly different from those developed in previous works, the paper starts with separate 61 sections 2 and 3, containing a detailed description of the new basis functions and the grid. 62 Section 4 overviews the data sets used in this study; special attention is paid to the new additions 63 to our database. Section 5 presents results of testing the new model's performance on artificial 64 data, by comparing the target and reconstructed fields and currents. Section 6 addresses the basic 65 principles of creating the nearest-neighbors (NN) data subsets. Section 7 presents main results 66 of the modeling of an intense geomagnetic storm of May 27–29, 2017, and their validation on 67

<sup>68</sup> independent data of geosynchronous satellites. Section 8 discusses the obtained results in terms
 <sup>69</sup> of the field line reconfiguration, and the last section 9 summarizes the paper.

# 2. Cylindrical basis functions (CBF)

Before getting to specifics of the new model, we briefly remind the essence of the earlier
developed AT16 approach. The field of external sources is represented as a sum of toroidal and
poloidal parts:

<sup>73</sup> 
$$\mathbf{B}^{(\text{ext})}(\mathbf{r}) = \nabla \times (\Psi_t \, \mathbf{r}) + \nabla \times \nabla \times (\Psi_p \, \mathbf{r}) = \nabla \Psi_t \times \mathbf{r} + \nabla \times (\nabla \Psi_p \times \mathbf{r})$$
(1)

where both generating potentials  $\Psi_t$  and  $\Psi_p$  are expanded into linear combinations of the RBFs  $\chi_i(|\mathbf{r} - \mathbf{R}_i|)$ , each of which depends only on the radial distance from its grid node  $\mathbf{R}_i$ .

In this work we introduce more flexible generalized basis functions, similar to the RBFs, but depending on the solar-magnetic (SM) cylindrical coordinates { $\rho, \phi, z$ } and centered around a set of nodes  $\mathbf{r}_i$  located at positions { $\rho_i, \phi_i, z_i$ }. Their form could be adopted in exactly the same way as for the RBFs, were it not for a complication due to the multi-valued property of the longitude  $\phi$ . A simple remedy is to replace the squared azimuthal distance  $\rho^2 |\phi - \phi_i|^2$  with  $\rho^2 \sin^2[(\phi - \phi_i)/2]$ . The final form of the basis functions is adopted as a product of Gaussian distributions in  $\rho, \phi$ , and z:

$$\chi_i(\rho,\phi,z,\rho_i,\phi_i,z_i) = \exp\left\{-\frac{(\rho-\rho_i)^2}{D_{\rho}^2} - \frac{\rho^2 \sin^2[(\phi-\phi_i)/2]}{D_{\phi}^2} - \frac{(z-z_i)^2}{D_z^2}\right\}$$
(2)

In order to distinguish them from the formerly used RBFs, the new basis functions (2) will be termed henceforth as cylindrical basis functions (CBFs). Aside from the difference in the coordinate systems, they have three independent scale lengths,  $D_{\rho}$ ,  $D_{\phi}$ ,  $D_{z}$ , which adds more flexibility to the model field. The principal motivation behind the adoption of the new CBFs is

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the inherently large difference between the radial, azimuthal, and North-South variation scales
 of the low-latitude geomagnetic field; this issue will be further discussed in the next Section 3.
 The rest of the modeling formalism is fully analogous to that described in the earlier works
 (e.g., AT16, Eqs.(7–8)). Namely, the toroidal and poloidal potentials are expanded into sums

$$\Psi_{t} = \cos \Psi \sum_{i=1}^{N} a_{i} (\chi_{i}^{+} + \chi_{i}^{-}) + \sin \Psi \sum_{i=1}^{N} b_{i} (\chi_{i}^{+} - \chi_{i}^{-})$$
(3)

$$\Psi_p = \cos \psi \sum_{i=1}^N c_i (\chi_i^+ - \chi_i^-) + \sin \psi \sum_{i=1}^N d_i (\chi_i^+ + \chi_i^-)$$
(4)

where  $\psi$  is the dipole tilt angle,  $a_i$ ,  $b_i$ ,  $c_i$ , and  $d_i$  are unknown model coefficients, and the basis functions  $\chi_i^+ = \chi_i(\rho, \phi, z, \rho_i, \phi_i, z_i)$  and  $\chi_i^- = \chi_i(\rho, \phi, z, \rho_i, \phi_i, -z_i)$ . Being substituted in (1), the potentials (3–4) provide a model field whose components have the required mirror symmetry properties with respect to transformation  $z \to -z$ ,  $\psi \to -\psi$  (e.g., Mead and Fairfield, 1975, Eqs.(4–6)):  $B_{x,y}(x, y, -z, -\psi) = -B_{x,y}(x, y, z, \psi)$  and  $B_z(x, y, -z, -\psi) = B_z(x, y, z, \psi)$ . The total number of free parameters of the model field (1)–(4) equals 4N, where N is the

<sup>100</sup> The total number of free parameters of the model field (1)–(4) equals 4*N*, where *N* is the <sup>101</sup> number of the grid nodes. Note that, due to the above North-South symmetry requirements, <sup>102</sup> only the nodes in one hemispace are counted in that number. More details on the grid are given <sup>103</sup> in the next section.

#### 3. Numerical grid and the modeling domain

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In most of our previous studies based on the RBF representation, axially symmetric nested grids were employed, whose nodes were placed on a set of concentric spherical layers according to Kurihara's (1965) method. The main objective behind that choice was to keep a reasonable trade-off between two apparently conflicting requirements: on the one hand, minimize the number of the grid nodes (hence, the computation time) and, on the other hand, maintain the model's resolution sufficiently high in all three dimensions. Initially, the latter requirement prompted us to keep the grid locally equidistant; later on, however, that restriction was realized as unnecessary. The first evidence of a possibility to use non-uniform grids came to our attention from a local modeling of the geosynchronous magnetic field (Andreeva and Tsyganenko, 2018), where a much wider azimuthal separation of the nodes did not result in any significant loss of accuracy. Later on, modified RBFs with largely different internode spacing were proposed and successfully used by Chen et al. (2019) to reconstruct complex simulated magnetic field structures with neutral points.

Based on the above, in this study we set out to construct an economical grid of nodes, which 117 would take full advantage of the large difference between the characteristic variation scales 118 in the radial, azimuthal, and North-South directions. Owing to the dominance of the nearly 119 axisymmetric field of the Earth's dipole, it is natural to associate the largest variation scale with 120 the solar-magnetic (SM) longitude  $\phi$ . On the opposite end of this hierarchy is the variation 121 across the equatorial plane, which can be very short-scaled on the nightside due to the presence 122 of thin tail current sheet. Finally, the radial variation in  $\vec{\mathbf{e}}_{\rho}$  direction takes the intermediate place 123 in that sense. Note that, in the above ordering, we leave aside such transient short-lived features 124 as the longitudinally structured small-scale finger-like convection streams associated with BBFs 125 (e.g., Liu et al., 2013, and refs. therein), as well as relatively rare events with sharp boundaries 126 between the inner magnetosphere and magnetotail (Apatenkov et al., 2008). 127

<sup>128</sup> In this work, we concentrate exclusively on the low-latitude domain and leave out the vast <sup>129</sup> high-latitude magnetosphere. To accurately delineate the modeling region, we followed the ap-<sup>130</sup> proach of a recent study of the IMF  $B_y$  'penetration' effect (Tsyganenko and Andreeva, 2020; <sup>131</sup> Sect.3.1, Eq.8), where both data and the RBF grid nodes were restricted to the inside of a do-<sup>132</sup> main, bounded by two funnel-like surfaces, separating the low-latitude magnetosphere from the

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tail lobes. Although the analytical form of the surfaces was described in detail in the above cited
 article and in earlier publications, we nevertheless reproduce it once again, in order to keep this
 paper self-contained:

$$\theta(r,\phi) = \arcsin\left[\frac{\sqrt{r}}{\left(r^{\nu} + \sin^{-2\nu}\theta_{\rm o} - 1\right)^{1/2\nu}}\right]$$
(5)

Here the angle  $\theta_0 = 20^\circ$  is the footpoint colatitude of the Northern surface at the ground level 137 (r = 1) and the constant parameter v = 3 controls the flaring rate of the surface and thus its 138 distance from the equatorial plane in the tail. The Southern surface is mirror-symmetric to 139 the Northern one, as long as the geodipole tilt angle  $\psi$  is zero. For  $\psi \neq 0$ , both surfaces 140 are subject to a tilt-dependent deformation, similar to a bowl-shaped bending/warping of the 141 magnetospheric equatorial current, as analytically represented in Tsyganenko and Andreeva 142 (2014). In more detail, each point  $\{r, \theta\}$  of the originally undeformed surface (5) receives an 143 additional shift in the polar angle  $\theta$ : 144

$$\Delta \theta(r, \theta) = -\sin(\theta) \arcsin \frac{Z_S(r, \psi)}{r}$$
(6)

where  $Z_S(r, \psi)$  is the deviation of the bowl-shaped current surface from the SM equatorial plane:

$$Z_{S}(r, \psi) = R_{H} \tan \psi \left\{ 1 - \left[ 1 + \left( \frac{r}{R_{H}} \right)^{\alpha} \right]^{1/\alpha} \right\}$$
(7)

The parameter  $R_H = 8R_E$  is the hinging distance and the exponent  $\alpha = 3$  controls the smooth-148 ness of transition from the dipole- to solar-wind-dominated regimes. At small  $r \ll R_H$  the solar 149 wind influence is virtually zero and both surfaces almost rigidly follow the geodipole orientation 150 (i.e., remain nearly fixed in the SM coordinates), but start to gradually slip behind at  $r \ge R_H$ 151 and, asymptotically, become nearly parallel to the distant tail plasma sheet at  $r \gg R_H$ . The 152  $\sin\theta$  factor in (6) is introduced to gradually decrease the deformation magnitude away from 153 the SM equator. Equations (5–7) define the Northern and Southern boundaries, which confine 154 the CBF grid and data within a limited low-latitude region and symmetrically enclose the equa-155 D R A ThisTarticle is protected by the p DRAFT

torial current sheet at any dipole tilt angle (see left panels in Figure 1 below). The modeling 156 domain is also restricted (i) by the inner/outer spherical boundaries, located at geocentric dis-157 tances  $R_{inn} = 3R_E$  and  $R_{out} = 18R_E$ , respectively, and (ii) by the model magnetopause (Lin et 158 al., 2010), calculated using average values of the wind pressure  $P_d = 2 nPa$  and IMF  $B_z = 0 nT$ . 159 The CBF grid was constructed by creating first a planar subset of nodes in a single meridian 160 plane. To that end, a sequence of 10 radial distances  $R_n$  ( $R_{inn} \le R_n \le R_{out}$ ) was defined, with 161 a linearly growing separation  $\Delta R_n = R_{n+1} - R_n = \varepsilon R_n$ . Then, for each  $R_n$ , 8 equidistant nodes 162 were placed along a circular segment between the Northern and Southern bounding surfaces, 163 defined by the above Eq.(5). 164



Figure 1. Distribution of spacecraft data (small colored specks) and CBF grid nodes (green circles) in the modeling region (delineated by dotted lines in the left panels). Left: meridional XZ views for untilted (top,  $\psi = 0^{\circ}$ ) and tilted (bottom,  $\psi = 20^{\circ}$ ) geodipole orientation. Right: equatorial XY view ( $\psi = 0^{\circ}$ ). Model magnetic field lines in the left panels and the magnetopause (dashed line) are shown only for the reader's orientation and correspond to an unrelated empirical model.

The generated meridional set of 80 nodes was then rotationally multiplied into 14 equally spaced longitude sectors. All the nodes that fell outside the model magnetopause were left out,

which eventually produced a 3D grid with 960 nodes. Note that, due to the N-S symmetry 168 properties (see end of previous section), only half of that number, N = 480, entered in the initial 169 number of model parameters 4N = 1920. The outcome of the above procedure is displayed in 170 Figure 1, showing the nodes and the grid boundaries in the noon-midnight plane (left) and in 171 the equatorial plane (right). The colored dots illustrate the distribution of data used in the model 172 derivation, which we describe in more detail further below. To avoid excessive crowding of the 173 data dots in the plots, only small fractions ( $\sim 10,000$ ) of the entire set (> 1,000,000) lying in 174 the immediate vicinity of meridional and equatorial planes were selected for plotting. 175

#### 4. Data

Large multi-mission sets of historical data lie at the foundation of the empirical models and 176 must be periodically upgraded, as long as new data become available with time. An essential 177 part of this work was to significantly extend our previously compiled 1995–2016 archive by ap-178 pending new data taken since 2016 through 2019, as well as adding older data that were left out 179 in the earlier studies. Most of the data sets, contributing missions, and basic preparation proce-180 dures were already described at length in our previous papers (e.g., Tsyganenko and Andreeva, 181 2017, and refs. therein); to keep this article more or less self-contained, we briefly recapitulate 182 and update that material below. 183

#### 4.1. Interplanetary data

The solar wind, interplanetary magnetic field (IMF), and ground indices data were downloaded from the OMNI source (https://omniweb.gsfc.nasa.gov/form/omni\_min.html) in the form of yearly files with 5-minute average values of all the parameters that characterize the external driving of the magnetosphere or its internal response. The next step was to partially degap the data by interpolating the IMF and plasma parameters over no-data intervals, whose duration did
 not exceed 6 hours. All such records were supplied with flags, in order to distinguish between
 the real and interpolated values.

In view of the need to use the OMNI data for mining from the magnetospheric data the 191 nearest-neighbor (NN) subsets, the yearly OMNI files were merged into a single file, covering 192 the entire 25-year-long interval from 1995 through 2019. From that interval, sliding sequences 193 of gapless data "windows" were then formed, in order to calculate cosine-weighted averages 194 of principal interplanetary drivers, ground disturbance indices, and their time derivatives, in 195 a way similar to that detailed in (Sitnov et al., 2008, Eqs.4–6). More specifically, the applied 196 averaging was either one-sided, i.e., based only on preceding data "trails" with length T=2 or 197 T=5 hours, or symmetric, that is, including both previous and following intervals of the same 198 duration. In effect, these options resulted in four alternative 'grand' OMNI files, covering the 199 period 1995–2019 and containing in each record the following parameters: the 'instantaneous' 200 (i.e., 5-minute average) IMF  $B_x$ ,  $B_y$ ,  $B_z$ , solar wind speed V, ram pressure  $P_d$ , proton density  $N_p$ , 201 temperature  $T_p$ ,  $V \cdot B_z$ , N-index (Newell et al., 2007), B-index (Boynton et al., 2011), ground-202 based indices Sym-H<sub>c</sub> (corrected for the magnetopause contribution), ASY-H (Iyemori, 1990), 203 AL, and AE. Each of the above parameters was also supplied with its time average, calculated 204 with the weight factor  $\cos(\pi t/2T)$  both in the symmetric  $\langle \ldots \rangle$  and in one-sided  $\langle \ldots |$  mode, and, 205 finally, with the time derivative, averaged over the same interval with the same cosine weight 206 factor. The total numbers of records in the four output files are nearly the same, ranging from 207 2,559,885 for the symmetric averaging over the [t-5hr,t+5hr] time intervals, to 2,582,451 208 for the one-sided averaging over [t-2h,t] interval. As required by the NN technique, all the 209 averaged parameters in the files were normalized by their r.m.s. values. It should finally be 210

noted that about 12% of records in the files did not have valid AE/AL data, mostly because of
the total gap from March 2018 to present.

# 4.2. Magnetospheric data

The magnetospheric data in our 'grand' archive are represented by space magnetometer ob-213 servations onboard 14 spacecraft, most of which have already been used and described in pre-214 vious publications. In the course of this study, the old database underwent a major extension by 215 adding data obtained since 2016, as well as by including bulks of earlier data that were missing 216 in previous versions of the archive. The magnetospheric data are represented by 5-minute aver-217 age values of the magnetic field, along with the concurrent satellite positions, dates/UT, and the 218 geodipole tilt angle values. The magnetospheric data were augmented with concurrent inter-219 planetary parameters and ground disturbance indices, described above in Section 4.1. The data 220 were organized into 14 files, each dedicated to an individual satellite. In total, the new database 221 contains 8,840,460 records, equivalent to  $\sim$ 84 years worth of space magnetometer observations, 222 which is more than twice the size of the data pool used in our previous studies (4,377,329 data 223 records; Tsyganenko and Andreeva, 2017). 224

As already detailed in Section 3, this study concentrates on only low-latitude magnetospheric region within a limited interval of distances. For that reason, only about a half of the entire grand data pool was used in the present work; more exactly, between 4,434,130 and 4,434,387 records for the symmetrical and one-sided weighted averaging schemes, respectively.

A detailed description of basic procedures involved in the data processing has already been given elsewhere (see a review: Tsyganenko, 2013, section 5). Below follows an updated concise synopsis of each mission and its share in the modeling database.

This is the oldest mission represented in the database, launched in 1992 and, as of time of this writing, still operating and providing high-quality data. The timespan of all Geotail data included in the modeling database covers the period from 01/05/1995 through 11/09/2019 and the geocentric distance range from 8.08 to  $49.09R_E$ . The total number of 5-min data records contributed by Geotail equals 799,399, equivalent to ~ 7.6 years worth of magnetospheric observations. For more details on the Geotail mission and its magnetometer experiment, the reader is referred to Nishida (1994) and Kokubun (1994).

240 **4.2.2.** Polar

4.2.1. Geotail

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The Polar mission was launched in March 1996 into a highly elliptical polar orbit with its 241 apogee above the North polar cap. Due to the slow apsidal precession, the spacecraft apogee 242 gradually rotated equatorward and into the Southern hemisphere, eventually covering the entire 243 magnetosphere from low altitudes up to geocentric distance of  $9.6R_E$  during the whole cycle 244 of solar activity, including its powerful maximum in the beginning of 2000s. The mission was 245 terminated in April 2008 and contributed to the grand archive a total of 871,429 5-minute data 246 records, equivalent to 8.3 years of observations inside the magnetosphere. A detailed description 247 of the Polar magnetometer experiment can be found in (Russell et al., 1995). 248

249 4.2.3. Cluster

The Cluster mission (Balogh et al., 1997), launched in July-August of 2000, consists of four spacecraft with nearly the same high-inclination orbits and relatively close inter-probe separation, specially designed for studying small-scale magnetospheric phenomena. By contrast to our previous studies, which used only Cluster-3 data from 02/2001 through 02/2016 (606,609 five-minute records), in this work the Cluster part of the database was extended nearly 5.4-fold

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to 3,270,352: first, by adding Cluster-3 data through 09/2018 and, second, by including data of the other three spacecraft for the entire period from 01/30/2001 through 09/30/2018.

An important comment should be made here. Namely, in view of a relatively small inter-probe 257 separation, one might question if the data of the four Cluster probes are sufficiently indepen-258 dent of each other and, hence, whether such an extension can really improve the informative 259 value of the database for the large-scale modeling. In order to clarify this issue, we plotted 260 in Figure 2 a histogram of maximum separation between the four probes, based on their one-261 minute ephemeris data for the entire time span 2001–2019 and for all radial distances between 262 the perigee (from  $\sim 1.3 R_E$  in 2010 to  $\sim 6.7 R_E$  in 2018) and apogee (from  $\sim 16.3 R_E$  in 2018) 263 to ~ 22.3  $R_E$  in 2009). 264



Figure 2. Histogram of maximum separation between the four probes for the entire timespan of the Cluster mission. The area between 10% and 90% percentile boundaries is highlighted with almond color, and the median/mean values are marked with red/blue dashed lines, respectively.

As can be seen from the plot, the mean value of the inter-probe separation (blue dashed line) is around  $2R_E$ , and 80% of its values lie in the range from  $0.2R_E$  to  $\sim 4R_E$ . Since the satellites follow each other along the same trajectory at the speed from  $\sim 1.5$  km/s at apogee (where the separation is minimal) to  $\sim 5-8$  km/s at perigee (maximal separation) one roughly estimates their travel time lags to vary from  $\sim 10-15$  min to  $\sim 1$  hour, which is sufficient to treat the data of individual probes as largely independent from each other in space and time.

# 272 **4.2.4.** THEMIS

The data of five THEMIS probes used in this work covered the time interval from the mission launch date (February 2007) through August 31, 2019, and contributed a total of 2,863,887 fiveminute records in the upgraded data archive. Most of the new data were those of THEMIS A, D, and E probes, while a relatively smaller portion came from B and C satellites, transferred in 2011 to lunar orbits under the ARTEMIS mission name. A comprehensive review of THEMIS mission, spacecraft orbits, and magnetometer experiment can be found in (Angelopoulos, 2008; Auster et al., 2008).

# **4.2.5.** Van Allen Probes

Magnetometer data of the Van Allen Probes mission are an important asset for the empirical 281 modeling, owing to their dense coverage of the low-latitude magnetosphere in the innermost 282 distance range. The data came from two identical VAA and VAB spacecraft, following each 283 other along the same orbit with apogees at  $5.8R_E$ . The satellites were launched on August 30, 284 2012, and deactivated in July-October 2019. The total number of the VAA/VAB 5-minute data 285 records in the grand database is 937,686, equivalent to 8.9 years of observations. More details 286 on the magnetometer experiment onboard VAA and VAB spacecraft can be found in (Kletzing 287 et al., 2013). 288

### **4.2.6.** Magnetospheric Multiscale (MMS) data

The MMS data is the most recent addition to our archive. The mission is a constellation of four closely spaced satellites, similar to the Cluster tetrahedron, but with much tighter separation between the probes, which is why only one of them (MMS1) was used as a source of magnetometer data for this study. The mission was launched on March 12, 2015; the earliest and the most recent data included in the modeling database are dated by September 1, 2015, and July 31, 2019, respectively. The total number of MMS1 records in the present archive is 208,608; the data are concentrated mostly in the near-equatorial magnetosphere and cover a wide range of radial distances up to  $R \approx 29R_E$  on the nightside.

# 5. Testing the model on artificial data

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The standard first step in implementing a new modeling method is to test its performance 298 on a set of artificial data, created from a known model field as a source of synthetic 'data'. In 299 this study, the new CBF representation (1)–(4) was tested by reconstructing the TS05 model 300 field (Tsyganenko and Sitnov, 2005), generated at a set of locations randomly scattered in-301 side the low-latitude modeling region shown above in Figure 1. The artificial data **B**-vectors 302 were calculated using a set of TS05 input parameters, corresponding to a moderate disturbance; 303 specifically, ram pressure  $P_d = 2 n Pa$ , IMF  $B_z = -2 n T$ , SYM-H = -40 n T,  $W_{t1} = W_{t2} = 1.7$ , 304  $W_s = 1.3, W_p = 3.3, W_{b1} = 1.2, W_{b2} = 1.4$ . This specific choice yielded a rather structured dis-305 tribution of the target B-field and electric current, a sufficiently strong challenge for the model 306 in terms of its flexibility and ability to reproduce complex configurations. 307

In the same fitting experiment, we also determined an optimal combination of the scaling factors  $D_{\rho}$ ,  $D_{\phi}$ , and  $D_z$ , entering in (2). More specifically, it was assumed that the above factors are not 'universal constants', but linearly vary with the radial distance  $r_i$  of each node, so that

$$D_{\rho}^{(i)} = D_{\rho} + \Delta D_{\rho} r_i , \quad D_{\phi}^{(i)} = D_{\phi} + \Delta D_{\phi} r_i , \quad \text{and} \quad D_z^{(i)} = D_z + \Delta D_z r_i.$$
(8)

The six nonlinear parameters  $D_{\rho}$ ,  $\Delta D_{\rho}$ ,  $D_{\phi}$ ,  $\Delta D_{\phi}$ ,  $D_z$ , and  $\Delta D_z$  were treated as free unknowns and fitted to the artificial data along with the 1920 unknown coefficients entering linearly in (3)–(4). The fitting was carried out using a combined linear/nonlinear iterative code, based on a Nelder-Mead simplex algorithm for nonlinear parameters and a singular value decomposition (SVD) routine for the coefficients. The best-fit solution yielded the residual r.m.s. deviation between the model and target fields equal to 1.9 nT, that is,  $\sim 3\%$  of the r.m.s magnitude 66.86 nT of the external target field. The obtained values of the six nonlinear scaling parameters in (8) were then assumed fixed for the adopted CBF grid and used in all further experiments on real data, described below in the following sections.



Figure 3. Comparing the equatorial electric currents, corresponding to the target TS05 field (left) and its reconstruction based on the CBF model (right). The current intensity is color-coded and the flow direction indicated by arrows of equal length. The innermost area within  $r \leq 3R_E$  (white cross-hatching) is devoid of the grid nodes and does not belong to the modeling region.

<sup>322</sup> Ideally, one might consider including the above six parameters (Eq.8) in the modeling of real <sup>323</sup> events and find their values for each time moment of an event by the same nonlinear iterative <sup>324</sup> code. In practice, however, that option is unfeasible due to the large number of the model coef-<sup>325</sup> ficients and, hence, long computation times, even for the purely linear model. For that reason, <sup>326</sup> those parameters and their radial gradients were derived only once, on the basis of an aver-<sup>327</sup> age simulated configuration. The purpose here was to approximately evaluate the parameters, on assumption that in individual real situations they remain reasonably close to the obtained values.

As an illustration of the model's performance, Figure 3 compares equatorial distributions of 330 the volume density of the electric current  $\mathbf{j} = (\nabla \times \mathbf{B})/\mu_o$ , derived from the target **B** vector (left) 331 and from the fitted CBF model field (right). In general, due to the curl operation the model 332 current patterns are usually more structured than those of the corresponding magnetic field. In 333 this case, however, the diagrams are surprisingly similar to each other throughout the entire 334 modeling domain, except for the innermost extrapolation area  $r \leq 3R_E$  (cross-hatched white 335 circle), which does not belong to the modeling region and, as such, does not contain any grid 336 nodes nor the 'data'. The other extrapolation area is the dayside boundary around the TS05 337 magnetopause. Here the eastward Chapman-Ferraro current calculated from the target field 338 is significantly thinner than that reproduced by the CBF model, which is naturally explained 339 by the absence of the magnetopause as such in the latter case. Similar plots have also been 340 created to compare the target/model distributions of the magnetic field itself in the form of 341  $\Delta B = |\mathbf{B}_{\text{total}}| - |\mathbf{B}_{\text{dipole}}|$  diagrams. The obtained distributions were found virtually identical to 342 each other and are not reproduced here to save page space. 343

#### 6. Parameters for the NN selection

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As explained in detail in earlier publications (Sitnov et al., 2008, 2018, 2019; Stephens et al., 2019), the essence of the nearest-neighbor data mining is based on defining a state vector

$$\mathbf{G} = \{ \langle A_1 \rangle, \langle A_2 \rangle, ..., \langle A_M \rangle, \langle \dot{\mathbf{A}}_1 \rangle, \langle \dot{\mathbf{A}}_2 \rangle, ..., \langle \dot{\mathbf{A}}_N \rangle \} \quad (N \le M)$$
(9)

where the slide-averaged parameters  $\langle A_i \rangle$  and their time derivatives  $\langle \dot{A}_i \rangle$  determine the current state and evolution trends (i) of the magnetosphere itself, usually derived from the ground-based activity indices, and (ii) of the incoming solar wind, observed by the interplanetary monitors. The availability of a large pool of archived past observations allows one to compensate the lack of data for an event of interest by complementing them with data taken during different events, but similar to the situation under study in terms of proximity of their vectors (9) to that for the current time moment. To that end, all the components of the state vector (9) are normalized by their r.m.s. magnitudes, which allows to quantify the proximity of an archived data sample represented by a state vector  $\mathbf{G}_{NN}$  to the modeled state  $\mathbf{G}$  in terms of the distance between them in the parametric hyperspace

$$\Delta G = \|\mathbf{G} - \mathbf{G}_{\mathrm{NN}}\| = \left\{ \sum_{i=1}^{M} \left[ \langle A_i \rangle - \langle A_i \rangle_{\mathrm{NN}} \right]^2 + \sum_{i=1}^{N} \left[ \langle \dot{A}_i \rangle - \langle \dot{A}_i \rangle_{\mathrm{NN}} \right]^2 \right\}^{1/2}$$
(10)

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A data record (either from the current event or belonging to another one from the historical 358 archive) is deemed close to the modeled state and, hence, is selected into a NN subset on the 359 condition that the distance  $\Delta G$  defined from (10) is less than a critical threshold  $\Delta G_c$ . The 360 optimal choice of a specific  $\Delta G_c$  value depends on the complexity of a model, quantified by the 361 number of its free parameters (degrees of freedom) and on the local density of data points in the 362 parametric space for the time moment of interest. Too large values of  $\Delta G_c$  lead to undesirable 363 mixture of too different states in the subset, which smears the obtained solution and results 364 in a loss of interesting details in the reconstructed field. On the opposite extreme, too small 365  $\Delta G_c$  provide too little data in the subset and, hence, result in overfitted unstable solutions and 366 artificial unphysical features, often hard to tell from the real ones. These issues have been 367 addressed in more detail by Sitnov et al. (2019); in the present study, specific values of the 368 threshold  $\Delta G_c$  were set experimentally in such a way that the number of records in the NN 369 samples be roughly 5–10 times larger than the number of free model parameters. 370

As the state vector  $\mathbf{G}$  and the corresponding selection hypersphere move in the parametric space with time, some neighbour data records exit from the NN subset, while new ones enter in,

generating thus a sequence of NN subsets, covering the interval of interest. Fitting a model to 373 each subset in the sequence yields consecutive sets of model parameters and field configurations, 374 representing the dynamics of the magnetosphere during the event. A major bottleneck in the 375 modeling of magnetospheric storms, recognized still in early efforts (e.g., Tsyganenko et al., 376 2003), is the scarcity of data taken during disturbed periods, which becomes progressively acute 377 with growing storm intensity. In our recent study to be described in more detail in a separate 378 publication, an efficient method has been proposed to tackle the data paucity. Its essence is to 379 provide each data sample with a weight factor, quantifying the degree of its proximity to the 380 modeled state of the magnetosphere. This allows one to increase the statistical contribution of 381 data taken during the most similar events and, at the same time, to keep the number of data points 382 in the NN subsets at a reasonable minimum and thus avoid the overfitting. In this work, we have 383 implemented that approach by assigning to each NN data record a weight  $W \sim \exp(-\Delta G/\Delta G_c)$ , 384 varying between W = 1 and W = 1/e = 0.368 for the closest and farthest data records in the 385 NN subset, respectively. 386

As detailed above in Section 4.1, the compiled OMNI and magnetospheric data files include 387 a number of external parameters and ground-based indices, which offers a large variety of possi-388 ble candidate variables to be used in the NN subset selection. Choosing an optimal combination 389 is also not quite straightforward; first, it is intuitively clear that preference should be given 390 to weakly correlated parameters, which ensures a more objective identification of really close 391 states of the system. Second, a less obvious and maybe even counterintuitive fact is that the 392 total number of the selection parameters should be kept at a reasonable minimum. Indeed, as 393 demonstrated by Verleysen and François (2005), the volume of a parametric hypersphere dra-394 matically falls down with growing space dimensionality, which may result in problems when 395

using the Euclidian norm (10) with too large M. In application to the empirical magnetospheric modeling, the above problem belongs to a vast and largely untapped area of research, extending far beyond the scope of the present work.

In this study, a number of possible options was tried with different combinations of the selec-399 tion parameters, averaging lengths/modes, and cutoff thresholds  $G_c$  defining the NN subset size. 400 All those variants provided qualitatively similar results in terms of the storm-time evolution of 401 the magnetospheric configurations, but differed with respect to quantitative details. In Section 7 402 below, modeling results will be presented for two specific choices of the NN search parameters, 403 the first of which (Variant 1, henceforth V1 for short) used only upstream interplanetary pa-404 rameters, while the second one (V2) was based mainly on the ground activity indices; the most 405 essential details of both variants are described in the next subsections. 406

#### 6.1. Variant 1: NN selection based on only interplanetary parameters

In this case, we used the following set of four selection parameters: the solar wind speed 407  $\langle V \rangle$  and the coupling index  $\langle \mathcal{B} \rangle$ , based on the coupling function by Boynton et al. (2011), as 408 well as their average time derivatives,  $\langle dV/dt |$  and  $\langle d\mathscr{B}/dt |$ . Here  $\mathscr{B} \propto N_{\rm p}^{1/2} V^{5/2} B_{\rm t} \sin^6(\theta_{\rm c}/2)$ , 409 where  $N_{\rm p}$ ,  $B_{\rm t}$ , and  $\theta_{\rm c}$  are the solar wind proton number density, IMF transverse component and 410 its clock angle, respectively. The rationale behind using the *B*-index was its highest correla-411 tion with the SYM-H index, reported in the original work by Boynton et al., which implies its 412 closest relevance to the state of the low-latitude magnetosphere. As prompted by the shape of 413 the brackets  $\langle ... \rangle$ , the averaging was made over time intervals, immediately preceding the data 414 record; the trailing interval length was set equal to 5 hours, in order to average out substorm 415 effects (Sitnov et al., 2008). The solar wind ram pressure  $P_d$  was not included in the NN search parameters but, in analogy to the TS07 model, its effects were taken into account by expanding 417

the CBF coefficients in (3)–(4) into binomials of the form  $p + q(P_d/\langle P_d \rangle)^{1/2}$ . Based on the fact of relatively fast magnetospheric response to the pressure variations, it was not averaged over long trailing intervals, but retained in the original form of 5-minute averages. The splitting of the CBF coefficients into binomials doubled the number of model unknowns from 1920 to 3840; accordingly, the numbers of records in the NN subsets were set in each case around 30,000, that is, within a factor of 7–10 larger than the number of degrees of freedom.

# 6.2. Variant 2: NN selection based on ground disturbance indices

In this case, most of the NN search parameters were represented by the averaged and normal-424 ized indices of the ground geomagnetic activity and their time derivatives. Specifically, the fol-425 lowing five parameters were employed:  $\langle$ SYM-H<sub>c</sub>|,  $\langle$ ASY-H|,  $\langle$ dSYM-H<sub>c</sub>/dt|,  $\langle$ dASy-H/dt|, 426 and  $\langle AL \rangle$ , with the same 5-hour length of the averaging interval. The SYM-H<sub>c</sub> index is corrected 427 for the solar wind ram pressure effect and quantifies the axisymmetrical contribution of the ring 428 current (RC) to the ground field. The ASY-H index serves as a quantitative measure of the RC 429 asymmetry (partial RC), a sensitive indicator of the storm phase. As for the solar wind pressure, 430 in the first experiments it was completely excluded from the model, which, as expected, resulted 431 in significantly poorer correlations between the reconstructed magnetic field and data, larger 432 residuals, and weaker storm effects in the model field. In view of that, the solar wind pressure 433 was eventually retained in this version in the same way as in V1; in addition, it implicitly entered 434 into the NN data mining via the pressure correction term in SYM-H<sub>c</sub> =  $0.8 \cdot \text{Sym-H} - 13\sqrt{P_d}$ 435 (Tsyganenko, 1996). 436

### 7. Results

The above described two variants of the model, V1 and V2, were tested by reconstructing the evolution of the low-latitude magnetospheric configuration during an intense storm of May 27-29, 2017.



Figure 4. Interplanetary and ground disturbance data during the storm of May 27–31, 2017 This is a classic example of a CME-driven storm, preceded by a strong pulse of the solar wind pressure, followed by a sudden IMF reversal to south, and then its slow rotation to north. Three panels of Figure 4 show the variation of interplanetary parameters and the disturbance indices SYM-H, ASY-H, and AL during three days of the event (DOY 147–149). Six vertical dashed lines mark six time moments, for which the modeling was performed, from the quiet pre-storm state at 12:00 of DOY 147 (1), to sudden commencement with  $P_d = 11.5$  nPa at 19:00 (2), to the southward IMF reversal at 22:00 (3), first negative peak of SYM-H (4), its second

(absolute) minimum (5), and (6) middle of the recovery phase, coincident with the moment of



<sup>449</sup> IMF transition to northward orientation.



#### 7.1. Reconstruction on the basis of only interplanetary data (variant V1)

Figure 5 shows equatorial plots of the external part  $B_z^{(\text{ext})}$  of the total field, corresponding to contributions from all its external sources, derived from the model (1). The color coding represents the distribution of the North-South component of the model field and, at the same time, illustrates the degree  $\Delta B = B_z^{(\text{total})} - B_z^{(\text{dipole})}$  of the magnetic field depression (black/blue) or compression (red/yellow). The six distributions are derived from six NN subsets and numbered in the same order as the corresponding time moments in Figure 4. The pre-storm configura-

tion in panel 1 reveals a typical well-ordered quiet-time magnetic field, with a moderate com-457 pression on the day side (subsolar  $\Delta B \sim +25 \,\mathrm{nT}$ ) and a nearly symmetric near-tail depression 458  $(\Delta B \sim -10 \,\mathrm{nT} \text{ at } X \sim -12 R_E)$ . To illustrate the coverage with the NN subset samples (each with 459  $\approx$ 30,000 data points), locations of every 10th data record are shown by black dots. The data 460 distributions in the rest five cases are qualitatively similar and are not shown to avoid obstruct-461 ing the plots. The arrival of the shock front (panel 2, UT=19:00 on DOY=147) and the ensuing 462 SC are accompanied by a compression of the magnetosphere with a strong field increase at the 463 dayside ( $\Delta B \sim 90 \, \text{nT}$  at the subsolar point). At the same time, one sees a sharp radially narrow 464 depression at  $X \sim -5R_E$  around midnight, extending by a few LT hours to dawn and dusk and 465 exceeding  $\Delta B \sim -100 \,\mathrm{nT}$  in magnitude. At that time, the IMF  $B_z$  was still slightly positive and, 466 as the  $\Delta B$  diagrams do not reveal any sign of the RC development, the only conceivable reason 467 for such a localized, abrupt, and dramatic depression is the sudden compression of the inner 468 magnetotail, accompanied with formation of an intense and radially limited westward current 469 in that area (see plots and a discussion in Section 8 below). 470

By ~22:00 UT (panel 3), the solar wind ram pressure subsides down to 7.4 nPa, the midnight depression weakens to  $\Delta B \sim -80$  nT and expands dawnward. We note in passing that in other experiments with different choices of the NN selection parameters (not described in this paper), a more azimuthally symmetric expansion of the inner midnight depression was found, propagating into both dawn and dusk sectors.

For the time moment of the first negative peak of SYM-H $\sim -110$  nT, reached at UT = 02:30 of DOY 148 after five hours of large southward IMF  $B_z \sim -15$  nT (though with rather low  $V \leq 400$  km/s and  $P_d \sim 2$  nPa), the modeling reveals quite a different magnetic configuration (panel 4) with a deep field depression, which tightly envelops Earth over the entire 360° range of longitude and has a very strong dawn-dusk asymmetry. The peak  $\Delta B \sim -180 \,\mathrm{nT}$  is reached in the innermost post-dusk magnetosphere at  $X_{\rm SM} = -1.7$  and  $Y_{\rm SM} = 2.7 R_E$ . In this event, disturbance fields with comparable depressions between -150 and -200 nT were observed by Van Allen A in the same MLT sector and in the same range of the radial distance 2.6–3.0 $R_E$ , but about one hour earlier, between 01:00 and 01:30 UT. After that time period, the spacecraft moved well below the GSM equatorial plane, which precludes the direct comparison of its data with the equatorial plot 4 (UT = 02:30).

The lowest peak of SYM-H=-140nT was reached five more hours later at 07:30 of DOY 487 148 (panel 5 in Fig.5). By that time, the negative IMF  $B_z$  subsided from -18 to -13 nT, which 488 is the most likely explanation why the equatorial depression has somewhat shrunk in size and 489 decreased in magnitude, in spite of the significantly lower SYM-H. Also, note the difference 490 in the midnight equatorial field: the intensity of the compression "island" at  $X \sim -12R_E$  is 491 clearly well correlated with the depression magnitude at  $R \leq 6R_E$ , which manifests the outward 492 relocation of the magnetic flux from the innermost magnetosphere into the near magnetotail 493 during the storm main phase. This effect is further confirmed in the next panel 6, corresponding 494 to the early recovery phase: the magnetic field is now depressed in almost the entire equatorial 495 magnetosphere on the nightside, even though the inner depression at  $R \sim 4-5R_E$  is now much 496 shallower. In the discussion below, we will address these effects from the field line mapping 497 viewpoint. 498

The next Figure 6 presents a similar sequence of equatorial plots for the same time moments 1-6 of the same storm, showing distributions of the electric current volume density, calculated as the curl of the model **B**. The color coding spans the interval from 0 to 12 nA/m<sup>2</sup> and displays the magnitude |**j**| of the current, while its direction patterns are shown by arrows of equal length.



Figure 6. Equatorial plots of the volume density of the model electric current **j**, for the same time moments of the geomagnetic storm of 05/27–05/31, 2017. The color coding illustrates the current magnitude and the arrows of equal length indicate the direction of the current flow vectors. As in Fig.5, the cross-hatching in the area  $R \leq 3R_E$  indicates the region where the model is invalid.

In contrast to the modular models with only a few custom-tailored smooth current systems, 504 the high-resolution models include a large number of basis functions. This inevitably results 505 in a somewhat structured magnetic field, whose degree of unevenness depends on the spatial 506 non-uniformity of underlying data. Such structures are partially smoothed out in the mapping 507 of field lines, since their tracing is effectively equivalent to the spatial integration (see Section 508 6.1 in Tsyganenko, 2013, for a more detailed discussion of the B-field mapping). By contrast, 509 taking the curl of the model **B** magnifies the structures, which is why the electric current patterns 510 usually look significantly bumpier than those for the magnetic field. Nevertheless, the plots in 511 Figure 6 appear relatively regular and display an ordered westward current over the entire mod-512

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eling region. The narrow azimuthal streaks with locally reduced or enhanced current density are most likely due to sharp gradients in the data coverage by individual missions.

An outstanding feature of the j patterns that repeatedly shows up for various combinations 515 of the NN search parameters, is a transient radially narrow local surge of the current in the 516 midnight sector at  $R \sim 6 - 7R_E$ , emerging during the storm SC (panel 2). As the solar wind 517 pressure subsides three hours later (panel 3), the local current peak largely disappears, indicating 518 its possible origin as the pressure wave induced by the CME shock passage and propagating 519 toward the near-tail current sheet via the lobes. Later on, by the time of SYM-H first peak 520 (panel 4, UT = 02:30, DOY 148), one sees a fully developed crescent-shaped area of strongly 521 enhanced current in the post-dusk/pre-midnight sector, peaking at  $R \sim 5 - 6R_E$ . Five more hours 522 later (panel 5; UT = 07:30) the current shrinks in space and decreases in magnitude, but remains 523 localized in the same area. In the middle of the recovery phase (panel 6) the current further 524 falls down, its distribution becomes much smoother and almost symmetric about the midnight 525 meridian. In this case, one can also see the innermost eastward current at  $R \sim 3 - 4R_E$ . This is a 526 fundamental feature, theoretically predicted still at the dawn of space era from the requirement 527 of stress balance between plasma and magnetic field at the inner boundary of the RC (e.g., 528 Akasofu et al., 1961). In the present model, the eastward current falls on the inner boundary of 529 the modeling region and, hence, can hardly be analyzed nor quantified with a proper accuracy. 530 It may be a subject of a future study, focused on the innermost magnetosphere and based on 531 closer-range data and grid. 532

#### 7.2. Reconstruction based on the ground disturbance indices (variant V2)

The ground-based indices have an advantage of reflecting the actual, rather than expected, state of the magnetosphere. Their disadvantage is the local nature of the information sources

which, being tied to the Earth's surface, inevitably provide only a remote, spatially integrated 535 and time-delayed monitoring of the complex magnetospheric processes, distributed over a vast 536 domain of geospace. 537



Figure 7. The CBF model  $B_z^{(ext)}$  plots, similar in format to those in Figure 5, but derived from the NN subsets compiled using  $\langle$ SYM-H<sub>c</sub>|,  $\langle$ ASY-H|,  $\langle$ dSYM-H<sub>c</sub>/dt|,  $\langle$ dASY-H/dt|, and  $\langle$ AL| as the data selection parameters.

It is nevertheless all the more interesting to test the ground indices as potential data mining 539 source. Recent works (Sitnov et al., 2018 and refs. therein) provided a sound evidence in favor 540 of that approach. Figure 7 shows a sequence of equatorial  $B_z^{(ext)}$  plots for the same 6 time 541 moments of the storm of May 27–29, 2017, indicated in Figure 4. The plots are similar in 542 format to those in Figure 5 but, as already said, instead of the upstream interplanetary data, are 543 based on the NN data subsets selected using the ground indices listed above in Section 6.2. 544

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As naturally expected, the diagrams in Fig.7 are not fully identical to those in Fig.5; the most interesting, however, is their surprising resemblance, in spite of the completely different data selection criteria. In particular, one again sees the quick buildup of the localized midnight depression (panel 2), coincident with the ram pressure pulse. Its following relaxation (panel 3) due to the solar wind pressure decrease is significantly more pronounced than that in the V1 case (Figure 5, panel 3).



Figure 8. Equatorial plots of the electric current  $\mathbf{j}$  analogous to Figure 6, but corresponding to the model field derived on the basis of the V2 NN subsets, selected using only ground-based indices.

The largest depression intensity (-160 nT) at the time of first SYM-H peak (panel 5) is not as strong as in the V1 reconstruction (-180 nT), and it is also shifted by  $\sim 0.5$  MLT hours closer to midnight (at 121° of SM longitude, against 114° in V1).

Figure 8 shows the corresponding equatorial distributions of the electric current, analogous in format to Figure 6 above, but based on completely different NN selection criteria that use only

557	the ground disturbance indices. Again, in spite of the fundamental difference between the subset
558	generation procedures, the plots reveal the same sequence of patterns, grossly similar to that in
559	Figure 6: (1) a regular and relatively weak westward current before the storm, (2) a narrow
560	radially localized area of strong current at $R \sim 7 - 8R_E$ in the midnight sector, concurrent with
561	the solar wind pressure pulse and (3) almost disappearing as $P_d$ weakens, (4) a crescent-shaped
562	region of strong westward current, centered at $R \sim 6 - 7R_E$ and spanning a wide range of
563	longitudes between postnoon and pre-dawn hours of MLT, (5) a similar pattern, but with some
564	weakening at dusk and a wider radial extent near midnight. In the last panel (6), one sees a
565	very regular <b>j</b> distrubution with a clear almost circular gap at $R \sim 3 - 4R_E$ between the outer
566	westward and inner eastward currents.

In the end of this section, we provide Table 1 which lists some statistical characteristics of the models and NN data subsets. Its horizontal lines correspond to the six time moments of the storm, marked in Fig.4 and discussed above in regard to the equatorial plots of the magnetic field and electric currents (Figures 5–8).

**Table 1.** Statistics of the NN subsets and models for six time moments during two first days of the storm of May 27–29, 2017 (see Fig.4). The displayed quantities are the r.m.s. values in nT of the observed external field  $\langle |\mathbf{B}| \rangle$ , weighted residual  $Q = \langle |\mathbf{B} - \mathbf{B}_{mod}|^2 \rangle^{1/2}$ , ratio  $Q/\langle |\mathbf{B}| \rangle$  in percent (parenthesized), and the correlation coefficients between the observed and modeled field components. The results are shown for the variants V1 (left) and V2 (right).

	##	Variant 1					Variant 2					
		DOY/UT	$\langle  \mathbf{B}  \rangle$	$Q, Q/\langle  {f B}   angle$	$R_{x}$	$R_y$	$R_z$	$\langle  \mathbf{B}  \rangle$	$Q, Q/\langle  {f B}   angle$	$R_x$	$R_y$	$R_z$
	1	147/12:00	15.87	5.71 (36%)	0.94	0.85	0.94	16.90	6.53 (39%)	0.91	0.81	0.94
	2	147/19:00	39.07	15.71 (40%)	0.90	0.82	0.92	25.88	9.34 (36%)	0.91	0.85	0.94
	3	147/22:00	42.57	19.40 (46%)	0.89	0.80	0.88	25.45	11.05 (43%)	0.90	0.80	0.91
	4	148/02:30	65.72	24.75 (38%)	0.91	0.87	0.92	63.68	26.81 (42%)	0.90	0.85	0.90
	5	148/07:30	59.53	22.66 (38%)	0.89	0.86	0.92	62.62	22.98 (37%)	0.90	0.86	0.93
	6	149/00:00	33.20	13.28 (40%)	0.90	0.82	0.92	39.77	13.13 (33%)	0.92	0.87	0.94

Each line includes r.m.s. magnitudes of the external (i.e., with the IGRF subtracted) observed field  $\langle |\mathbf{B}_{obs}| \rangle$ , along with the corresponding residual r.m.s. deviation of the model field from

57

the data  $Q = \langle |\mathbf{B}_{obs} - \mathbf{B}_{mod}|^2 \rangle^{1/2}$ , accompanied with a figure of merit  $Q/\langle |\mathbf{B}_{obs}| \rangle$  in parentheses. Additionally, three correlation coefficients are shown for each of three magnetic field components. Left and right parts of the table correspond to V1 and V2 variants, and we draw the reader's attention to a significant difference in the respective values of  $\langle |\mathbf{B}_{obs}| \rangle$ , especially for the time moments 2 and 3 (storm SC and IMF southward excursion). In spite of that, the obtained figures of merit and the correlations are not that different between the V1 and V2, in line with the already mentioned similarity between the plots in Figures 5 vs 7 and 6 vs 8.

# 7.3. Validation

A standard procedure in the development of a new model is to test its performance 581 with respect to reproducing independent data, not used in the model's generation. In 582 the present case, a suitable source of such data taken inside the modeling domain is 583 the data by geostationary GOES-13 and -15 satellites. We used 1-minute averaged data 584 from the online source https://satdat.ngdc.noaa.gov/sem/goes/data/avg/2017/05/goes13/csv/ 585 and https://satdat.ngdc.noaa.gov/sem/goes/data/avg/2017/05/goes15/csv/ and compiled two 586 files, in which the observed values of the magnetic field GSM components were represented 587 by the arithmetic mean of those measured by GOES inboard and outboard magnetometers. The 588 model values were calculated for ten time moments during the storm, including the six ones 589 marked in Figure 4 and analyzed in Figures 5–8. The results are shown in Figures 9 and 10 590 below, corresponding to GOES-13 and -15 data, respectively. The red and blue circles indicate 591 values returned by the V1 and V2 model versions, respectively. 592



Figure 9. Compares the magnetic field variation observed by GOES-13 magnetometers (green solid curve) with the output of the CBF model (circles) for 10 time moments during DOY 147–148 of May 27–31, 2017, storm. Red and blue circles correspond to the models V1 and V2, respectively.

As expected, the agreement between the data and model is virtually perfect for the quiet-594 time NN data sample (the leftmost dot, DOY 147, 12:00) and for those corresponding to the 595 early and late recovery phase (DOY 148, 12:00, 16:00, and 24:00). The largest deviations, also 596 in line with expectations, are found during the main phase of the storm, from late UT hours 597 of DOY 147 through the late morning of DOY 148. The most outstanding difference is seen 598 in the GOES-15 plot for  $B_x$  (top panel in Fig.10) at the time of the largest negative peak of 599 SYM-H (DOY 148, 07:30). The satellite was located at that moment in the premidnight sector 600 (MLT $\approx$ 22:20) at  $X_{\text{GSM}} = -5.72$ ,  $Y_{\text{GSM}} = 2.68$ ,  $Z_{\text{GSM}} = 1.97$ , close to the inner edge of very 601

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<sup>602</sup> intense tail current. Judging from the unusually low (even negative, down to -20 nT) values of <sup>603</sup> the total observed  $B_z$  (bottom panel) at that period, the spacecraft was inside a dynamic bubble-<sup>604</sup> like magnetic configuration with a neutral point, which makes the obtained disagreement in  $B_x$ <sup>605</sup> not surprising at all. One can also note that the largest difference between the V1 and V2 model <sup>606</sup> vectors is found for the end of DOY 147, that is, at the start of the storm active phase. This <sup>607</sup> is in line with the result, discussed in the end of Section 7.2 in relation to the large difference <sup>608</sup> between the V1 and V2 data subsets, corresponding to that period.



Figure 10. Same as in Figure 9, but using GOES-15 observations for the validation.

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#### 8. Discussion: storm-time effects in the field line mapping

The actual degree of the magnetospheric magnetic field distortion during geomagnetic storms 610 has been discussed since long ago in relation to the observed equatorward expansion of the 611 auroral oval, and the empirical modeling based on direct in-situ data plays here a central role. 612 Notwithstanding a well-established classification of storms into a few typical classes, such as 613 the CME-, or CIR-induced storms (e.g., Denton et al., 2006), it should be realized that, even 614 inside the same class, there exists a great variety of different scenarios of external input and 615 its previous history, which is unlikely to be accurately accomodated within a single universal 616 dynamical model, such as, for example, the widely used TS05. In that respect, the NN data-617 mining approach offers a promising alternative, and its success depends mainly on three factors: 618 (i) the abundance of historical data, (ii) an optimal combination of the NN selection parameters, 619 and (iii) sufficient flexibility and resolution of the mathematical model. 620

In this section we address the storm-time reconfiguration of the magnetospheric magnetic 621 field lines, as deduced from the CBF model for the same two sets of parameters employed in the 622 NN data selection, for the same phases 1–6 of the May 2017 storm. In order to single out the 623 effects of external input and/or of the magnetospheric disturbance, all field line configurations 624 are reconstructed for zero dipole tilt, even though its actual values varied in the course of the 625 disturbance. Figure 11 shows a sequence of configurations obtained for the V1 model, based on 626 only interplanetary NN selection parameters. The most striking feature, already discussed above 627 in regard to the equatorial depression  $\Delta B$  (Figs.5 and 7), is the dramatic outward "discharge" of 628 the magnetic flux (panel 2), coincident with the solar wind blow at the time of the storm SC. The 629 initially quasi-dipolar quiet-time field lines that resided deep in the inner magnetosphere become 630 now severely stretched: thus, the line with footpoint latitude  $\Lambda_f = 66^\circ$  and quiet-time apex 631

location around the geostationary orbit at  $R \approx 6.6R_E$  is swept downtail as far as to  $R \sim 16R_E$ . At the next phase (panel 3) the pressure subsides, which results in a partial relaxation of the stretch.



Figure 11. Displays a sequence of field line plots, corresponding to six moments during the storm of May 27–31, 2017, as shown in Figure 4 above. The field line footpoints lie in the noon-midnight meridional plane and follow at  $\Delta \Lambda = 1^{\circ}$  cadence of the SM latitude, starting from  $\Lambda_f = 59^{\circ}$  for the innermost line. To better visualize the changing field deformation, the lines are colored and labeled with footpoint latitudes. The modeling is based on the Variant 1 NN selection (using only interplanetary parameters)

At that time, however, effects of the southward excursion of IMF  $B_z$  come into play and result in the next round of the inner field stretching (panel 4, first negative peak of SYM-H), especially clearly visible in the shape of the line with  $\Lambda_f = 64^\circ$ . By the time of the second Sym-H peak (panel 5) the configuration already gets slightly relaxed due to some decrease of the external driving, as prompted by the IMF  $B_z$  plot in Fig.4. Finally, at the recovery phase (panel 6) the configuration is much more relaxed, though still significantly more stretched than the initial quiet-time field in panel 1. It is interesting to compare the above field line configurations with a similar set of plots, but obtained on the basis of NN subsets selected using only ground-based indices (Variant 2). The result is shown in Figure 12.



Figure 12. Field line plots, similar in format to Figure 11, but derived from the NN data subsets, generated using the ground-based indices (Variant 2, see Section 6.2 above).

As could already be expected from the comparison of equatorial  $\Delta B$  distributions, the field 647 line plots in Figs.11 and 12 are quite close, in spite of completely different methods of the NN 648 subset formation. This is a convincing evidence in favor (i) of the general robustness of the 649 magnetic field representation by the CBF expansions, (ii) of the reality of the storm effects de-650 rived from the modeling, and (iii) of a reasonable accuracy of their reconstruction. The largest 651 difference between the corresponding plots in Figs.11 and 12 is in the panels 2 for the storm SC: 652 the outward expulsion of the magnetic flux in the latter case is so dramatic that the field line with 653  $\Lambda_f = 64^\circ$  extends to  $X \approx -16.5 R_E$ , while the same field line in Figure 11 stretches no further 654 than to  $X \approx -11R_E$ . Such a difference, as well as the somewhat wavy shape of the lines (also 655

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reflected in a certain irregularity of the equatorial plots of  $\Delta B$  in panels 2 of Figures 5 and 7) is 656 a result of the relatively short duration of the storm sudden commencements and, hence, rela-657 tive rarity of such magnetospheric states. As a consequence, the formation of a corresponding 658 NN subset with sufficiently large number of data points requires a relatively large value of the 659 hypersphere threshold radius  $G_c$ . This unavoidably brings in data from significantly different 660 regions of the parametric space with low weight factors, which increases the solution instabili-661 ties, rooted in the overfitting phenomenon. At the same time and for the same reason, one may 662 expect that the actual field deformations during a specific event may be even more drastic than 663 those derived from NN subsets that inevitably contain data corresonding to somewhat different 664 magnetospheric states. 665

The impact on the magnetosphere of external pressure pulses and step-like increases has been 666 extensively discussed in the past literature. Boudouridis et al. (2003) studied the SC effects 667 upon the size of the polar cap and auroral oval size. In a more recent work, Li and Wang (2018) 668 presented results of IMAGE observations of the auroral oval reaction to the external pressure 669 changes. Both cited works, however, were based solely on low-altitude data of polar orbiting 670 spacecraft, focused mainly on the open flux area, and provided no insight whatsoever regarding 671 the distant field configuration, nor its response to  $P_d$  jumps. In the present paper, by contrast, 672 we addressed the lower-latitude region, corresponding to the auroral/subauroral zone permeated 673 by closed field lines, and reconstructed the magnetic configurations with unprecedented spatial 674 resolution, not available in any of the previous empirical models. 675

#### 9. Summary and outlook

The principal goal of this work was to synergistically combine a new flexible CBF model of the magnetic field with the advanced data mining method and, on that basis, demonstrate the

high potential of this approach by reconstructing a sequence of magnetospheric configurations 678 during the entire cycle of an intense geomagnetic storm. The model was designed as a general-679 ization of the previously developed RBF representation, which allowed us to optimize the grid 680 by taking into account the actual hierarchy of the magnetospheric scale sizes. Before fitting to 681 actual observations, the new mathematical framework was tested on artificial data, to verify its 682 ability to reproduce storm-time reconfigurations of the real field. The existing archive of his-683 torical magnetospheric data was extended to nearly twice its previous size by adding new data 684 taken in the last years; in addition, a large amount of previously unused data has been included 685 in the pool. The new model was fitted to NN subsets, generated by using various combinations 686 of normalized interplanetary parameters, indices of ground geomagnetic acivity, and their tem-687 poral trends. In spite of the fundamental difference between the search parameters based on 688 data of upstream solar wind monitors in the first case, and on only ground activity indices in the 689 second, the modeling revealed a surprising similarity in the results. The most interesting finding 690 is the dramatic tailward expulsion, or discharge, of the magnetic flux from the inner magneto-691 sphere at the time of storm sudden commencement, manifested in the enormous stretching of 692 the nightside field lines. A plausible interpretation of this effect can be a sudden compression 693 of the tail lobes, initiating a local transient surge of electric current in the innermost tail current 694 sheet. The following 12-hour period of continuous external driving of the magnetosphere re-695 sults in the development of an intense symmetric and partial ring currents, accompanied by the 696 formation of a deep magnetic depression in the inner magnetosphere, tightly enveloping Earth 697 over the entire  $360^{\circ}$  longitude range and strongly asymmetric in the dawn-dusk direction. Upon 698 the relaxation of the external driving, the model magnetosphere recovers to a nearly symmetric 699 post-storm configuration. 700

In conclusion, some comments are in place. The advantage of the CBF formalism is its ability 701 to locally represent the field in a specified region of interest with a variable resolution. As an 702 attractive challenge for future research, one can envision a dynamical modeling of the nightside 703 sector, aimed at the reconstruction of the substorm currents on the basis of in-situ satellite data. 704 In such studies, the problem of accurate selection of the NN subsets comes to the foreground. 705 As already noted, that problem boils down to the optimal choice of the selection parameters. In 706 regard to the ground-based data, this calls for new advanced indices, providing maximum infor-707 mation on the dynamics, intensity, and localization of the substorm current wedge. A promising 708 candidate could be the recently introduced MPB index (Chu et al., 2015; McPherron and Chu, 709 2018). In respect to the interplanetary data, a major hurdle is the large distance between Earth 710 and upstream monitors which are often located too far away from the Sun-Earth line. As shown 711 by Vohmyanin et al. (2019), this results in a significant percentage of poor quality OMNI data. 712 A possible remedy can be to use the polar cap (PC) index (Troshichev, 2017, and refs. therein) 713 as a partial substitute for the L1 data. It has been shown that the ground-based data on the polar 714 cap magnetic variations can serve a reliable and continuous source of information on the solar 715 wind electric field. Finally, as already noted above, the most serious challenge in the modeling 716 of the storm-time magnetosphere is the relatively low density of NN data in the vicinity of most 717 interesting time moments on the phase space trajectory. All the above issues offer a very wide 718 agenda for future research. 719

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